### Transgenic trees Remarkable progress, extraordinary constraints

Steve Strauss Distinguished Professor Oregon State University <u>Steve.Strauss@OregonState.Edu</u>





### **Clarifying terms**

- Transgenic, genetically modified, genetically engineered
  - GMO, GEO, GE, GM
- Can modify gene structure or expression that are already present in breeders' gene pools
  - Cisgenics, intragenics, edited genes
- Can insert genes not within breeders' gene pools
- GE / GM used interchangably today to mean direct, asexual, heritable modification of DNA
  - A method not a product

### **Goals for today**

- Value of GMO methods for trees and other woody perennials
  - Horticulture and forestry
- Overview of advanced GMO varieties in production or developmental pipelines
- Regulatory problems needing high level policy solutions

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Constraints to breeding with trees are great – GMO methods offer very significant additional tools

#### **Constraints include**

- Long breeding cycle
- Difficulty to inbreed / introgress new genes from hybrids
- Hard to identify dominant, major genes
- Common use of asexually propagated varieties of high value

### GE of diverse value for trees

All demonstrated in the field

- Improved fruit quality/durability
- Resistance to insects and diseases
- Tolerance to salinity, cold, drought, and high temperature stresses
- Phytoremediation of environmental toxins
- Modified properties to improve processing of wood for biofuels, paper, or solid wood
- Tolerance to herbicides to reduce the environmental impacts, improve efficiency, or reduce costs of weed control treatments

### GE of diverse value for trees

All demonstrated in the field

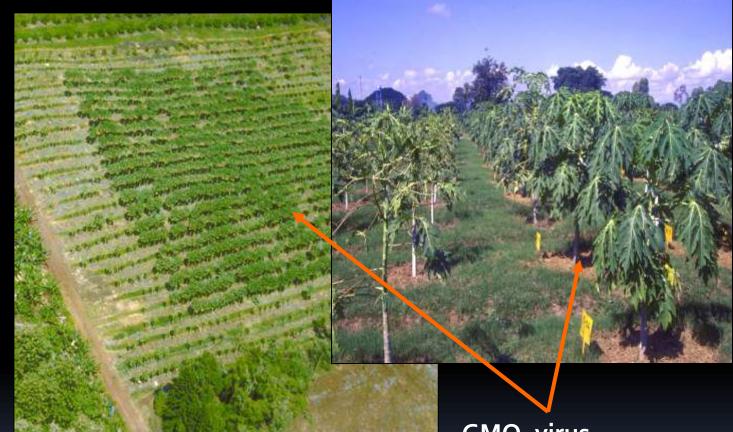
- Accelerated flowering for faster breeding and research
- Fertility control for reduced spread and improved growth rate
- Improved growth and yield
- Synthesis of new, renewable bioproducts such as plastics, enzymes, and fragrances

### **Goals for today**

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### Virus-resistant papaya

"Immunization" via by implanting a viral gene in the papaya genome – RNAi (RNA interference)



Courtesy of Denis Gonsalves, formerly of Cornell University

GMO, virusresistant trees

## HoneySweet plum with GE resistance to plum pox virus

Ralph Scorza USDA-ARS



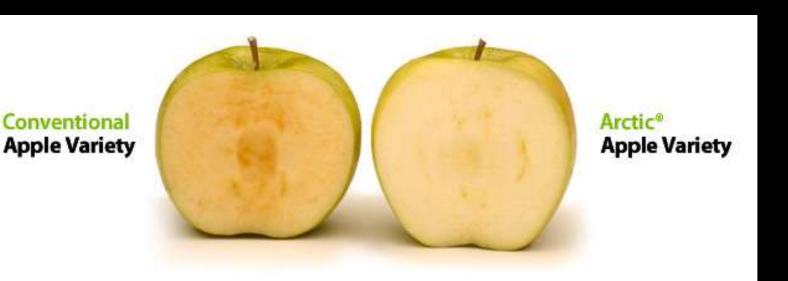
GE



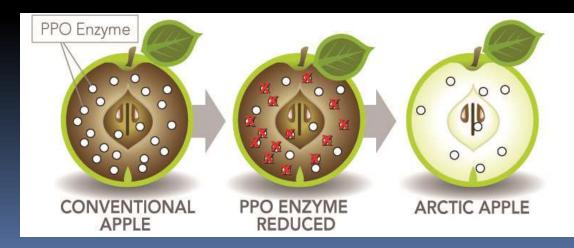




### **Non-browning "Arctic Apple"** Suppression of native polyphenol oxidase gene expression



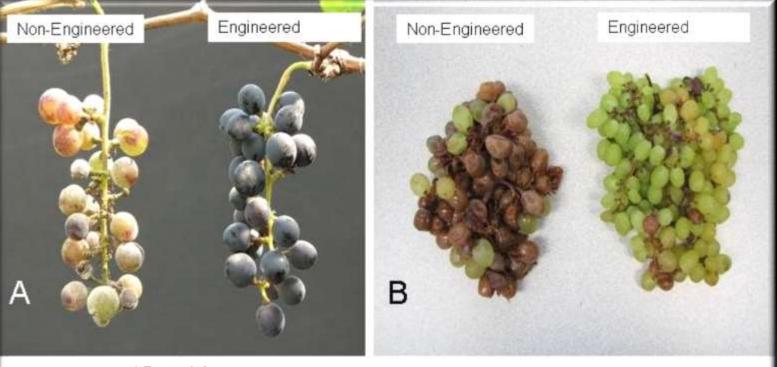
Courtesy of Jennifer Armen, Okanagan Specialty Fruits, Canada



## Native grape genes used to produce fruit rot resistance

#### Grape VvAlb gene

#### Grape VvTL-1 gene



'Syrah' Powdery Mildew Resistance

'Thompson Seedless' Rot Resistance

Courtesy of Denis Gray, UF/IFAS Mid-Florida Research & Education Center

http://mrec.ifas.ufl.edu/grapes/genetics

## Native grape genes imparts black rot resistance in field trials



'Thompson Seedless' Control

'Thompson Seedless' containing VvTL-1

Courtesy of Denis Gray, UF/IFAS Mid-Florida Research & Education Center

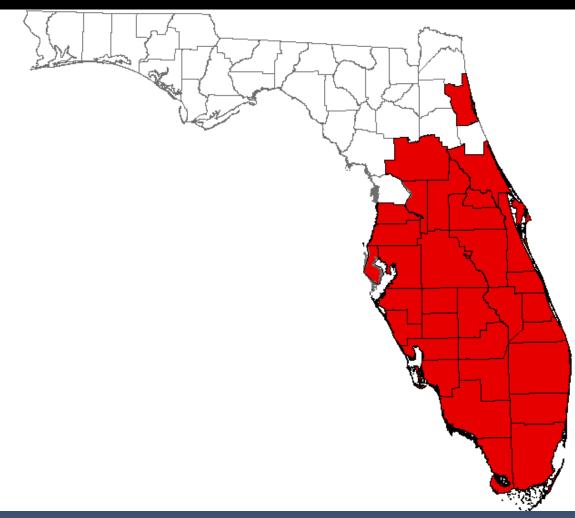
http://mrec.ifas.ufl.edu/grapes/genetics

### **GMO-based resistance transgenes** promising in citrus

#### **Scientific American** March, 2013 NGE A devastating disease is killing citrus trees CONTRACTOR OF THE MALLEY AN INCOME. from Florida to California integrit howwen on the Asian Olymp population specializing similarly feature in knoch the multipenning means By Anna Kachment ring from missiopen and unrip

Physics and a fight the strength physics

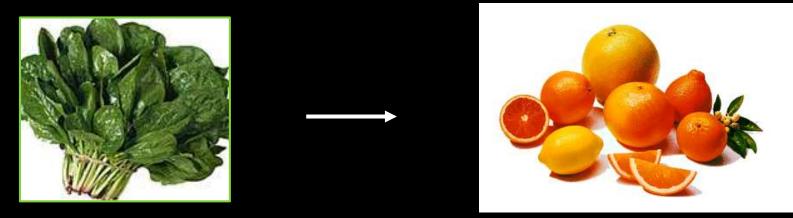
## Rapid spread throughout Florida and of great concern in other citrus growing areas





Courtesy of Eric Mirkov, Texas A & M

## Defensin-like proteins from spinach promising





### Insertion of a transgene that elevates natural systemic acquired resistance also promising



Courtesy of Manjul Dutt and Jude Grosser, Citrus Research and Education Center, Florida, USA

Overexpression of endogenous flowering genes induce early flowering in several tree species Apple

Plum



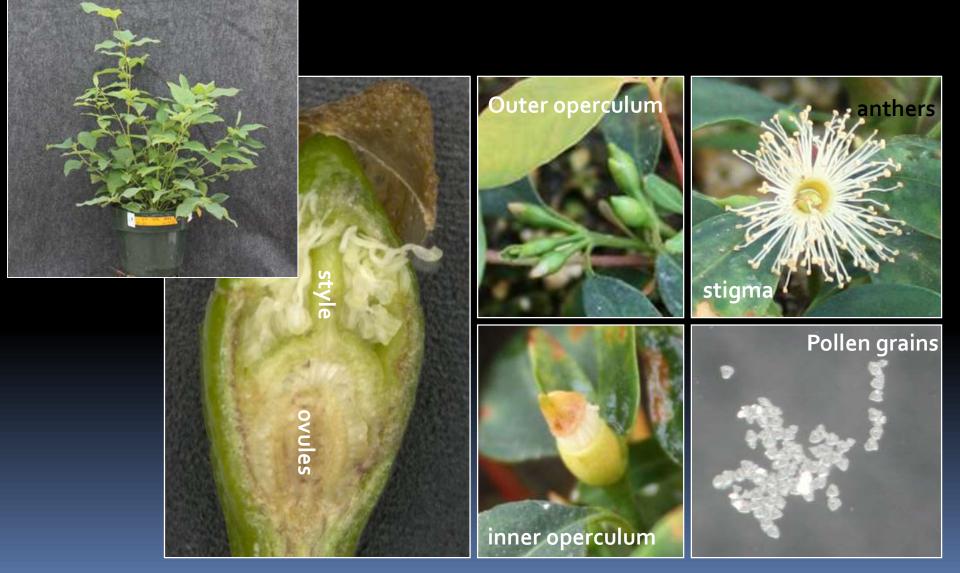




## Rapid flowering of plum in the field to speed virus resistance breeding

Courtesy of Ralph Scorza, USDA ARS

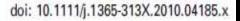
## Early flowering effective in eucalypts too....



## And flowering accelerated in poplar by suppression of native genes

### the plant journal

The Plant Journal (2010)



### Populus CEN/TFL1 regulates first onset of flowering, axillary meristem identity and dormancy release in Populus the planet

Rozi Mohamed<sup>1,1,\*</sup>, Chieh-Ting Wang<sup>2,†,§</sup>, Cathleen Ma<sup>1</sup>, Olga Shevchenko<sup>1</sup>, Sarah J. Dye<sup>1</sup>, Joshua R. Puzey<sup>2</sup> Elizabeth Etherington<sup>1</sup>, Xiaoyan Sheng<sup>2</sup>, Richard Meilan<sup>3</sup>, Steven H. Strauss<sup>1</sup> and Amy M. Brunner<sup>2,\*</sup> <sup>1</sup>Department of Forest Ecosystems and Society, Oregon State University, Corvallis, OR 97331-5752, USA, <sup>2</sup>Department of Forest Resources and Environmental Conservation, Virginia Polytechnic Institute and State U Blacksburg, VA 24061-0324, USA, and

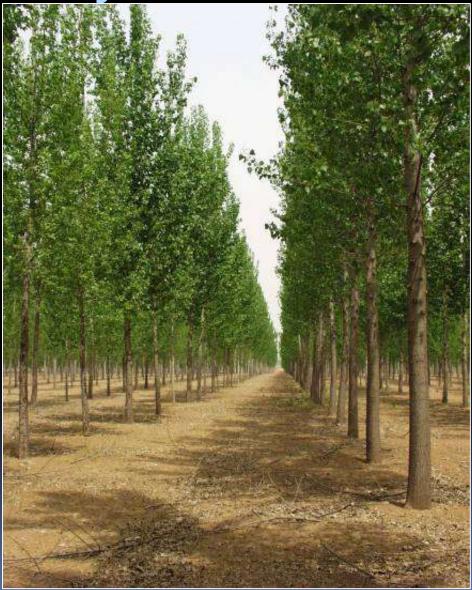
<sup>3</sup>Department of Forestry and Natural Resources, Purdue University, West Lafayette, IN 47907-2061, USA

### the plant journal



## Insect resistant poplars commercially approved in China - Bt cry1

- Trait stable
- Helps to protect non-Bt trees
- Reduced insecticide use
- Improved growth rate



# Currently creating new hybrids by crossing *Bt* poplar with other poplars





Courtesy of Menghu-Zhu Lu, Chinese Academy of Forestry

## Growth rate benefits substantial for other Bt-poplars (cry3a) – 10-20%





#### Bt-Cry3Aa transgene expression reduces insect damage and improves growth in field-grown hybrid poplar

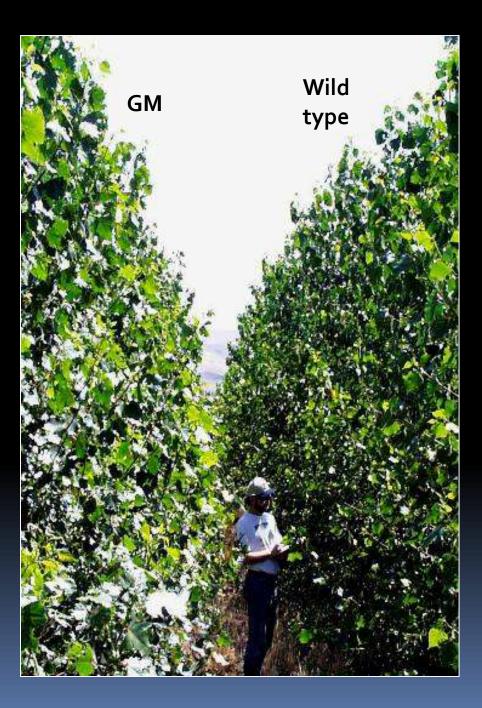
Amy L. Klocko, Richard Meilan, Rosalind R. James, Venkatesh Viswanath, Cathleen Ma, Peggy Payne, Lawrence Miller, Jeffrey S. Skinner, Brenda Oppert, Guy A. Cardineau, and Steven H. Strauss

Abstract: The stability and value of transgenic pest resistance for promoting tree growth are poorly understood. These data are essential for determining if such trees could be beneficial to commercial growers in the face of substantial regulatory and marketing costs. We investigated growth and insect resistance in hybrid poplar expressing the *cry3Aa* transgene in two field trials. An initial screening of 502 trees comprising 51 transgenic gene insertion events in four clonal backgrounds (*Populus trichocarpa × Populus deltoides*, clones 24-305, 50-197, and 198-434; and *P. deltoides × Populus nigra*, clone OP-367) resulted in transgenic trees with greatly reduced insect damage. A large-scale study of 402 trees from nine insertion events in clone OP-367, conducted over two growing seasons, demonstrated reduced tree damage and significantly increased volume growth (mean 14%). Quantification of Cry3Aa protein indicated high levels of expression, which continued after 14 years of annual or biannual coppice in a clone bank. With integrated management, the *cry3Aa* gene appears to be a highly effective tool for protecting against leaf beetle damage and improving yields from poplar plantations.

Résumé : La stabilité et la valeur de la résistance transgénique aux ravageurs pour favoriser la croissance des arbres ne sont pas bien connues. Ces données sont essentielles si l'on veut déterminer dans quelle mesure de tels arbres transgéniques pourraient être profitables pour des producteurs commerciaux considérant les coûts substantiels reliés à la réglementation et la mise en marché de tels arbres. Les auteurs ont étudié la croissance et la résistance aux insectes de peupliers hybrides exprimant le

Can. J. For. Res. 44: 28-35 (2014) dx.doi.org/10.1139/cjfr-2013-0270

Published at www.nrcresearchpress.com/cjfr on 28 October 2013.



Growth benefits despite low insect pressure during large field trial of resistant genotypes

## Lignin-modified trees – much improved ethanol or pulp yields

#### Improved saccharification and ethanol yield from field-grown transgenic poplar deficient in cinnamoyl-CoA reductase

Rebecca Van Acker<sup>a,b</sup>, Jean-Charles Leple<sup>c</sup>, Dirk Aerts<sup>d</sup>, Véronique Storme<sup>a,b</sup>, Geert Goeminne<sup>a,b</sup>, Bart Ivens<sup>a,b</sup>, Frédéric Légée<sup>e</sup>, Catherine Lapierre<sup>e</sup>, Kathleen Piens<sup>1</sup>, Marc C. E. Van Montagu<sup>a,b,1</sup>, Nicholas Santoro<sup>9</sup>, Clifton E. Foster<sup>9</sup>, John Ralph<sup>b</sup>, Wim Soetaert<sup>d</sup>, Gilles Pilate<sup>c</sup>, and Wout Boerjan<sup>a,b,1</sup>

\*Department o Belgium; finstit Orléans, France Mixte de Reche Ghent Universit and \*Departme Research Cente

A N V

Contributed by

Lignin is one enzymatic pr tremula x Pop tase (CCR), th specific branc field trials in ture. Wood : of the red xy regulation. S conditions (n simultaneous that wood fi 161% increas rial from the trees, includin yielded ~205 down-regulat that CCR dow improve bior

and the yield penalty can be overcome.

bioethanol | GM | second-generation bioenergy

Global warming and the depletion of fossil fuels provide a major impetus for the increased interest in renewable energy sources. Liquid biofuels, bioethanol in particular, are curments mechanical from the fready accorrible cuerous in measureme. versity, 9052 Ghent, restières, 45075 Pierre Bourgin, Unité ry and Microbiology, It Lansing, MI 48824; Iat Lakes Bioenergy

tively (5–7). Cinfirst step of the hydroxycinnamoylaldehydes (mainly egulation of CCR -13), CCR-downange to wine-red patches along the i with a reduction vels of ferulic acid

ng the conversion ), we have evalulown-regulated in trials were estabxess of obtaining i essential step in in the laboratory n because green-

house-derived data cannot a priori be extrapolated to field grown trees without experimentation. For example, greenhouse-grown trees do not experience the annual cycles of growth and

#### Significance

In the transition from a fossil-based to a bio-based economy, bioethanol will be generated from the lignocellulosic biomass

### **Freeze-tolerant** *Eucalyptus* Proposed for commercial deregulation in USA

### Results from first winter in South Carolina









Lead Lines + Control

Field results indicate freezing tolerance to ~16°F (- 8° to - 9°C)

**Provided by Arborgen** 

### Many eucalypt field trials underway

Two years



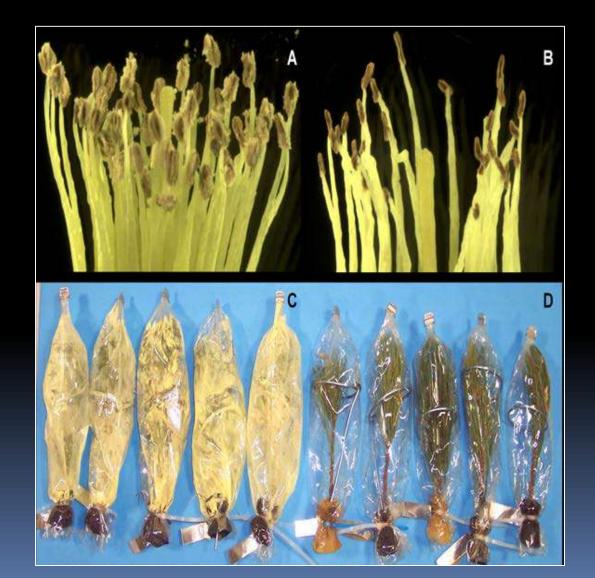






#### Courtesy of Les Pearson, Arborgen

## Field grown male-sterile eucalypts and pine - Arborgen



Complete and stable male-sterility over several years in the field

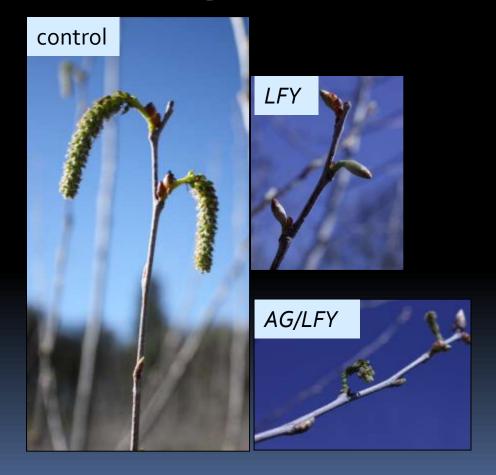
### Similar results for poplar

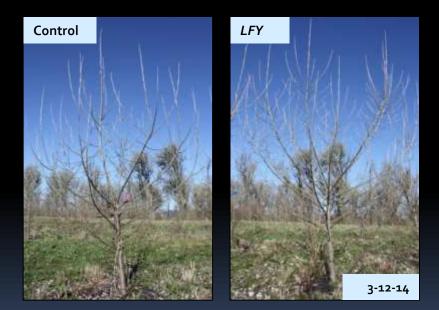
Brunner et al. 2007, Elorriaga et al. 2014 Tree Genetics and Genomes





### Complete sterility - Undeveloped catkins by suppression of native *LEAFY* gene in poplar (RNAi)





Klocko et al. 2014, American Soc. For Plant Biology, Portland, Oregon

### Sterility a valuable tool for battling invasive, exotic forest trees: "Wilding" in New Zealand



GE appears to be a useful too for battling the many exotic diseases that have ravaged North American forests

#### **Examples**

- 1892 White pine blister rust
- 1904 Chestnut blight
- 1923 Port-Orford-cedar root disease
- 1920s Beech scale complex
- 1930 Dutch elm disease
- 1967 Butternut canker

1976 - Dogwood anthracnose

2000s - Sudden oak death



American elm

## American Chestnut most advanced case

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Energy & Sustainability » Scientific American Volume 310, Issue 3				🔫 2 : 📾 Email : 🖨 Print		



#### The American Chestnut's Genetic Rebirth

A foreign fungus nearly wiped out North America's once vast chestnut forests. Genetic engineering can revive them

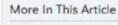
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By William Powell

In 1876 Samuel B. Parsons received a shipment of chestnut seeds from Japan and decided to grow and sell the trees to orchards. Unbeknownst to him, his shipment likely harbored a stowaway that caused one of the greatest ecological disasters ever to befall eastern North America. The trees probably concealed spores of a pathogenic fungus, *Cryphonectria parasitica*, to which Asian chestnut trees—but not their American cousins—

#### March 2014 issue Scientific American







#### Courtesy of Bill Powell, SUNY Syracuse, USA

### **Goals for today**

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  - Horticulture and forestry
- Overview of advanced GMO varieties in production or developmental pipelines
- Regulatory problems needing high level policy solutions

There is hardly a trickle of GMO tree products compared to its scientific potential – why?

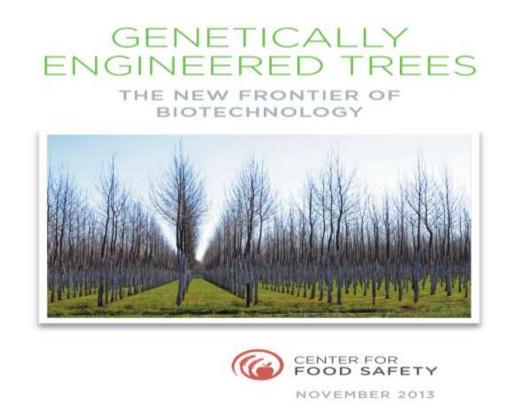
Social / market and regulatory barriers are great



### Genetically modified arboriculture Down in the forest, something stirs

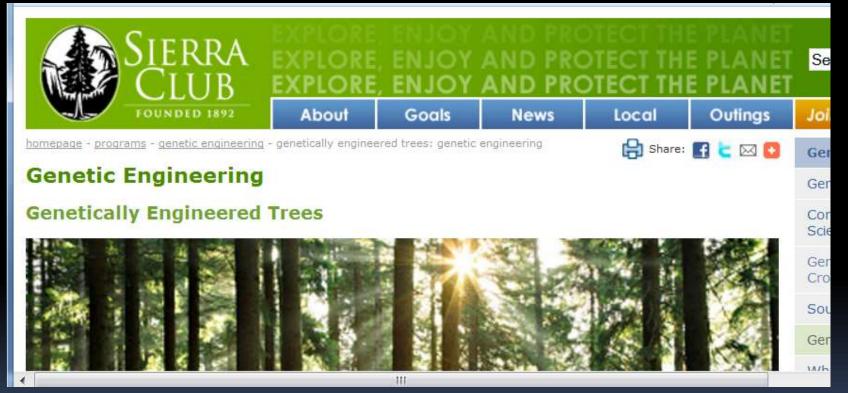
The Economist, 2005

### **Transgenic trees are controversial**



Critical report from anti-GMO Center for Food Safety in USA – Released Nov 2013

## Major environmental groups promoting wild forests dislike GE trees



"The possibility that the new genes spliced into GE trees will interfere with natural forests isn't a hypothetical risk but a certainty. ...genetic engineering may do as much damage to forests and wildlife habitat as chain saws and sprawl." (11/10/13)

### "Green" certification of forests create severe barriers to field research, markets

Plantation Certification & Genetic Engineering

FSC's Ban on Research Is Counterproductive

Steven H. Strauss, Malcolm M. Campbell, Simon N. Pryor, Peter Coventry, and Jeff Burley

Ceneticengineering, also called genetic modification (CM), is the isolation, recombinant modification, and assexual brancher of genes. It has been hanned in nearbohnations on tiled by the Forest StearadShip Coundi (FSC) regardless of the source of genes, that is imparted, or whether for research and argue that FSC's ban on research is counterproductive because it makes it difficult for certified companies to participate in the field research mediated to assess the value and biosafety of GM trees. Genetic modification could be important for translating new discover is about the genetic modification could be important for translating new discover is about the genomesinto improved growth, quality, sustainability, and pest resistance.

Keywords: biotechnology; entomology and pathology; ethics; genetics; silviculture

enetic engineering, commonly called genetic modification (GM) in much of the world, is the use of recombinant DNA and asexual gene transfer methods to breed more productive or pest-resistant crops. It has been the subject of considerable controversy, with concerns raised from biological, socioeconomic, political, and ethical perspectives. Some of the issues are similar to those raised by the use of molecular biology and genetic engineering in medicine, which we see in the news headlines daily. However, genetic modification in agriculture and forestry raises environmental issues as well.

GM crops, mainly herbicide- and pest-resistant varieties of sorbeans, maize, or cotton, have been vigorously adopted by farmers in North America because they are easy to manage and they improve yields, reduce costs, or reduce pesticide ecotoxicity (Carpenter

and Gianessi 2001). However, the controversy, primarily embodied in regulatory barriers to trade of GM crops with Europe and Japan, has slowed their adoption considerably in recent years.

If GM trees are used in forestry in the near future, they are likely to occur primarily in intensively managed environments, such as urban forestry, genetic modification is expected to help trees adapt to the stresses and special demands of human-dominated systems. Examples would be trees that are more tolerant of heavy metals or other pollutants, resist urban pests or diseases, grow slower, or do not produce fruits when these create hazards in street environments (Brunner et al. 1998).

Plantations, although very different from natural forests in structure and function, are considered part of the spectrum of methods in sustainable forest management (Romm 1994). Plantations can relieve pressure on natural forests for exploitation and can be of great aocial value by supplying community and industrial wood needs and fueling economic development. The environmental role of plantations is recognized by the Forest Stewardship Council (FSC), an international body for certification of sustainably managed forest. FSC Principle 10 states that plantations should "complement the management of, reduce pressures on, and promote the restoration and conservation of natural forests" (FSC 2001).

FSC has certified some of the most intensively managed plantations in the world, including poplar plantations and the intensive pine and eucalypt plantations of the Southern Hemisphere. Although many environmental mitigations are built into these certified plantation systems, within the areas dedicated to wood production they function as tree farms. Such intensive plantation systems often use highly bred genotypes, possibly including exotic species, hybrids, and clones, as well as many other forms of intensive silvicultural management. It is in the context of these biointensive systems that the additional expense of GM trees is likely to be worthwhile.

However, FSC currently prohibits all uses of GM trees, and is the only certification system to have done so



#### Forest Stewardship Council

"...genetically modified trees are prohibited..."

# Regulatory problems with GMO trees also severe

Event-specific decisions and costs

- Slowness/difficulty of introgression
- Need diverse genotypes transformed (varieties)
- Much smaller economic benefits to pay back regulatory costs from single events

# Regulatory problems with GMO trees also severe

Presumption of harm from GE method during research and breeding

- All gene flow must be prevented during research
  - Some movement will occur due to incomplete domestication, wild and feral relatives, wide pollen and often seed movement
- Impedes or prevents stress resistance and other complex trait development
  - Require extensive field trials to test many concepts and insertion events
- No longer makes sense in era of precision breeding, cisgenics, intragenics

## A serious regulatory problem under USA system

### Far-reaching Deleterious Impacts of Regulations on Research and Environmental Studies of Recombinant DNA-modified Perennial Biofuel Crops in the United States

Articles

STEVEN H. STRAUSS, DREW L. KERSHEN, JOE H. BOUTON, THOMAS P. REDICK, HUIMIN TAN, AND ROGER A. SEDJO

October 2010 / Vol. 60 No. 9 • BioScience 729

# An international regulatory issue given Cartagena Protocol and trade

### Strangled at birth? Forest biotech and the Convention on Biological Diversity

Steven H Strauss, Huimin Tan, Wout Boerjan & Roger Sedjo

Against the Cartagena Protocol and widespread scientific support for a case-by-case approach to regulation, the Convention on Biological Diversity has become a platform for imposing broad restrictions on research and development of all types of transgenic trees.

The Convention on Biological Diversity (CBD) has become a major focus of activist groups that wish to ban field research and commercial development of all types of genetically modified (GM) trees. Recent efforts to influence CBD recommendations by such groups has led to the adoption of recommendations for increased regulatory stringency that are inconsistent with the views of most scientists and most of the major environmental organizations. We suggest that the increasingly stringent recommendations adopted by the CBD in recent years are impeding, and in many places may foreclose, much of the field research needed to develop useful and safe applications of

#### A convention co-opted

Negotiated under the United Nations (UN) Environment Program, CBD was adopted in June 1992 and subsequently entered into force in December 1993. The CBD has been signed by 191 of the 192 members of the UN, making it one of the largest international treaties. The aim of the CBD is to promote the conservation and sustainable use of biodiversity, and the fair and equitable sharing of benefits from the use of genetic resources. Because transgenic organisms have the potential to affect biodiversity, special provisions of the CBD cover the use and trade in living modified organisms (LMOs, also known as genetically modified organisms; GMOs).

In 2000, the Cartagena Protocol on Biosafety the CBD



NATURE BIOTECHNOLOGY VOLUME 27 NUMBER 6 JUNE 2009

# Millions of dollars of regulatory costs to use a gene we eat daily in spinach

The New Hork Times

July 27, 2013

#### A Race to Save the Orange by Altering Its DNA



### Lignin-modified trees

Concept proven, but much refinement needed

# Type of gene, promoters, extent of modification, environment, stand age, genotype modified

#### Improved saccharification and ethanol yield from field-grown transgenic poplar deficient in cinnamoyl-CoA reductase

Rebecta Van Acke<sup>ma</sup>, Jean-Charles Lepté', Dirk Aerts<sup>4</sup>, Veronique Storme<sup>10</sup>, Geert Goeminne<sup>10</sup>, Bart Nems<sup>10</sup>, Fridderic Legte<sup>1</sup>, Catherine Lapterre<sup>1</sup>, Kathleen Piens<sup>1</sup>, Marc C. E. Van Montagu<sup>n,b,1</sup>, Nicholae Santoro<sup>11</sup>, Clifton E. Fostar<sup>0</sup>, John Rajh<sup>1</sup>, Wim Soetaar<sup>1</sup>, Gilles Plate<sup>1</sup>, and Wout Boojan<sup>10,3</sup>.

Togentieren of Ried Sprein Bolog, VB, SSD Over, Belgere, Togentreen of New Kerkinnenge and Bearlanen, Stein University, SBD Over, Belgere, Torestreen of New Kerkinnen, Stein Steine of Sprein Steine Steine

Contributed by Marc E. E. Van Monttagu, Monember 20, 3813 (sent for moless March 26, 3818)

Lignin is one of the main factors determining recaldtrance to enzymatic processing of lignocalis/osic bismass. Poplars (Populat tremula a Popular alba) down-regulated for circamoyl-CoA reductase (CCR), the enzyme catalyzing the first step in the monolignolspecific branch of the lignin biosynthetic pathway, were grown in field trials in Belgium and France under short-rotation coppice culture. Wood samples were classified according to the intensity of the red sylem coloration typically associated with CCR downregulation. Saccharification assays under different pretreatment conditions (nore, two alkaline, and one acid pretreatment) and simultaneous sancharification and fermentation assays showed that wood from the most affected transperic trees had up to 161% increased ethanol yield. Fermentations of combined material from the complete set of 26-mo-old COR-down-regulated trees, including bark and less efficiently down-regulated trees, still yielded --20% more ethanol on a weight basis. Nowever, strong down-regulation of CCR also affected biomass yield. We conclude that CCR down-regulation may become a successful strategy to improve biomast processing if the variability in down-regulation and the yield penalty can be overcome.

bioethanol ( GM ( accord-permittion bioenergy

Given and the depletion of family facts provide a major imputes for the increased interest in tonewable enorgy sources. Liquid biofuels, bioerbased in particular, are carmuch methanel bene the facts of the areas much as a set of the set o

incorporated into the light polymer, respectively (5–7). Cinnamogi-CoA reduction (CCR) catalyzes the first step of the minuligos/o-pecific parthway. It converts the hydroxymamus5-CoA entries to their corresponding hydroxycinstantik/chydras (maisty ferdexi/CoA in coeliferaldebyda), and down-explaintion of CCR typically results in reduced lights content (3–13). CCR-dowsregulated popular are characterized by an orange to wincored observation of the xylent that other appears in patches along the stren. This protonated colonation is associated with a reduction in light amount and the incorporation of low-levels of faralic acid trist the polymer (13, 14).

As lights is the most important facture limiting the conversion of plant homomas to ferrentiatibut maps: (15–17), we have evaluated whether wood from transgence popular, down-explained in CCR, is cated to process into otherard, Field trials sure establahed in Belgiann and France after a long process of obtaining regulatory permission (11). Field trials are an essential step in translating human-trail knowledge generated in the laboratory to conditions closer to industrial exploitation because greatbouse-derival data cannot a priori fue categoinada in field-grown trees without experience the annual cycles of growth and trees do nut experience the annual cycles of growth and

#### Significance

in the transition from a funcil-based to a bin-based economy, binetheral will be generated from the Spreadbalox binetaer



SANG

### Cold tolerant *Eucalyptus* Concept proven, much refinement needed

Type of gene, promoters, extent of modification, environment, stand age, genotype modified







# Pest epidemics increasing with travel and climate change

Need rapid use of all available tools, including GE – regulations make impossible

#### **Examples**

- 1892 White pine blister rust
- 1904 Chestnut blight
- 1923 Port-Orford-cedar root disease
- 1920s Beech scale complex
- 1930 Dutch elm disease
- 1967 Butternut canker
- 1976 Dogwood anthracnose
- 2000s Sudden oak death



American elm

Gene targeting, cisgenics, intragenics coming along fast in genomic age = increased precision, safer than breeding!

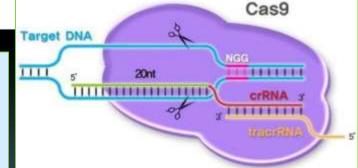
## Zinc fingers on target

Matthew H. Porteus

DNA binding domain Fok

The existing methods of creating genetically modified plants are inefficient and imprecise. Zinc-finger technology offers the prospect of opening up a swifter and more exact route for crop improvement.

CRISPRS



EWS & VIEWS

NATURE|Vol 459|21 May 2009

AGTATTGCTCAGTTCACGTACCGCAATAGATCATGAACTGATTCGCATG TCATAACGAGTCAAGTGCATGGCGTTATCTAGTACTTCACTAACGCTAC

**TALENs** 

**DNA** binding domain

## In summary

- Many examples show great progress on a wide variety of fronts
  - Despite very large social barriers and disinvestment over the last decade plus
- Extraordinary regulatory barriers based on the process rather than the product
  - Makes implementation of GE tools on a scale and speed relevant to need and benefit unworkable
- Need for fundamental regulatory change
  - A start: Adventitious presence allowances during research and development for cisgenes, intragenes, and edited genes with known, safe marker systems